

Topological Properties of Non-Periodic Kronig-Penney Model

Naokí Itsuí (位井 直輝, いつい なおき) Okinawa Institute of Science and Technology Hiroshima University





Outline

1. Introduction

2. Review of Topology in Condensed Matter Physics

3. Main part : My Research Topic



1. Introduction

1.1. Self Introduction

1.2. Why I came here?

1.3. My previous study (Not research)
1.3.1. Topological Insulator
1.3.2. Bose-Hubbard Model
1.3.4. Kosterlitz-Thouless transition

2025/02/25

Self Introduction

Hokkaido

Name: Naoki Itsui Age: 21 years old University: Hiroshima University (広島大学) Hometown: Saitama (埼玉)

Hiroshima:



Self Introduction

✓ Research Unit in Hiroshima University → Condensed matter Theory Group
 ✓ Our Unit member are especially interested in Topological Phenomena

PI (supervisor): Prof. Yasuhiro Tada

- Superconductivity
- Quantum criticality
- Dirac electrons
- Heavy fermions
- Topological systems
- Quantum magnets
- Spin liquids

M2, 1 person: Quantum Spin liquids M1, 1 person: Fractional quantum Hall effect B4, 4 people: Undecided

*

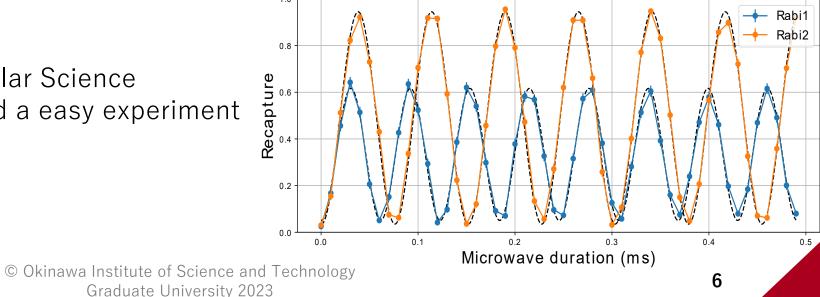
 $M2 = 2^{nd}$ year master student $M1 = 1^{st}$ year master student $B4 = 4^{th}$ year bachelor student In Japan Graduate school = two years mater degrees + three years Ph.D. degrees

Why I came here?

Last year, I took a lecture titled An Introduction to Ultracold Atom Physics for Condensed Matter Physics and Quantum Computation by Prof. Tomita (Institute for Molecular Science Ohmori group)

→ I started to have interested in Ultracold Atom Physics + I knew the OIST Research Internship program and found the QSU group

I also, I went to Institute for Molecular Science Ohmori group for three days and did a easy experiment (observation of Rabi oscillation) based on Prof. Tomita's instruction.

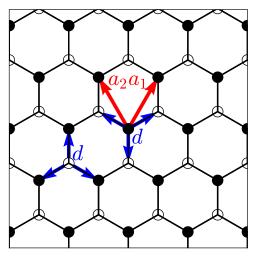


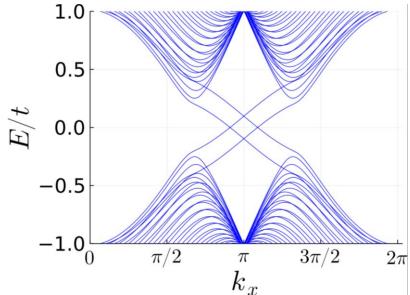
My previous study : Topological Insulator

Kane-Mele model is the first theoretical proposed Topological Insulator (generalized Quantum Spin Hall Insulator)

$$H_{\rm KM} = t \sum_{\langle i,j \rangle} c_i^{\dagger} c_j + i \lambda_{\rm SO} \sum_{\langle \langle i,j \rangle \rangle} \nu_{ij} c_i^{\dagger} s^z c_j + \lambda_v \sum_i \xi_i c_i^{\dagger} c_i$$
$$(-1)^{\nu} = \prod_{i=1}^4 \zeta(\mathbf{\Lambda}_i)$$

 $\zeta(\Lambda_i)$: Parity at time reversal momentum Λ_i





My previous study : Bose-Hubbard Model

Calculation was so heavy I could not completely calculate fourth perturbation

$$H_{\rm BH} = \sum_{\boldsymbol{R}} (\boldsymbol{\epsilon}_{\boldsymbol{R}} - \boldsymbol{\mu}) a_{\boldsymbol{R}}^{\dagger} a_{\boldsymbol{R}} - t \sum_{\boldsymbol{R}} \sum_{i=1}^{D} (a_{\boldsymbol{R}}^{\dagger} a_{\boldsymbol{R}+\boldsymbol{e}_{i}} + \text{h.c.}) + \frac{U}{2} \sum_{\boldsymbol{R}} \hat{n}_{\boldsymbol{R}} (\hat{n}_{\boldsymbol{R}} - 1)$$

$$= \int_{0}^{D} \alpha^{*} \mathcal{D} \alpha \mathcal{D} \Psi^{*} \mathcal{D} \Psi \exp\left[-S_{\rm BH}[\alpha^{*}, \alpha, \Psi^{*}, \Psi]\right]$$

$$= \int_{0}^{\beta} d\tau \left\{ \sum_{\boldsymbol{R}} \left(\alpha_{\boldsymbol{R}}^{*}(\partial_{\tau} + \boldsymbol{\epsilon}_{\boldsymbol{R}} - \boldsymbol{\mu}) \alpha_{\boldsymbol{R}} + \frac{U}{2} \alpha_{\boldsymbol{R}}^{*} \alpha_{\boldsymbol{R}} \alpha_{\boldsymbol{R}} \alpha_{\boldsymbol{R}} \alpha_{\boldsymbol{R}} \alpha_{\boldsymbol{R}} \alpha_{\boldsymbol{R}} \right)$$

$$= S_{0} + S_{\Psi}$$

$$= \int_{0}^{\beta} d\tau \sum_{\boldsymbol{R}} \left(\alpha_{\boldsymbol{R}}^{*}(\partial_{\tau} + \boldsymbol{\epsilon}_{\boldsymbol{R}} - \boldsymbol{\mu}) \alpha_{\boldsymbol{R}} + \frac{U}{2} \alpha_{\boldsymbol{R}}^{*} \alpha_{\boldsymbol{R}} \alpha_{\boldsymbol{R}}$$

My previous study : Kosterlitz-Thouless transition

$$H_{\mathrm{KT}} = -J \sum_{\langle i,j \rangle} (S_{i}^{x} S_{j}^{x} + S_{i}^{y} S_{j}^{y}) = -J \sum_{\langle i,j \rangle} \cos(\theta_{i} - \theta_{j})$$

$$T < T_{c} : \langle \mathbf{S}_{i} \cdot \mathbf{S}_{j} \rangle = (\frac{1}{|\mathbf{r}_{i} - \mathbf{r}_{j}|})^{-\frac{1}{2\pi J\beta}}$$

$$T > T_{c} : \langle \mathbf{S}_{i} \cdot \mathbf{S}_{j} \rangle = \exp(-\frac{|\mathbf{r}_{i} - \mathbf{r}_{j}|}{\xi}), \quad \xi = (\log \frac{2}{\beta J})^{-1}$$

$$Spin-Spin Correlation function$$

Not good



2. Review of the Topology in Condensed Matter Physics

2.1. What is the topology?

2.2. Topology in Condensed Matter Physics

2.3. Review of Quantum Hall Effect and TKNN formula

2.4. Review of the Topological pump



What is the Topology?

"Equivalent" in topology is if and only if two shapes can be Continuously Deformed to each other

✓Topological number in this case is a hole that does not change in continuous deformation

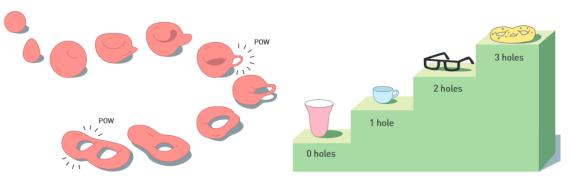


Topology in Condensed Matter Physics

Topology in Condensed Matter Physics Example : Nobel prize in physic in 2016

TKNN Formula ✓Haldane Conjecture

✓Kosterlitz-Thouless transition





© Nobel Media AB. Photo: A. Mahmoud David J. Thouless Prize share: 1/2

© Nobel Media AB. Photo: A. Mahmoud F. Duncan M. Haldane

Prize share: 1/4







Prize share: 1/4

2025/02/25

Topology in Condensed Matter Physics

Before starting main slides.....

There are a lot of interesting topics in Topological Condensed matter Physics

- Fractional Quantum Hall Effect
- Topological Insulator and Topological Superconductor
- Quantum spin liquid
- Classifications of Topological Phase of Matter (Topological order, SPT, SET...)

See a good review : X. G. Wen, Rev. Mod. Phys. **89**, 041004 (2017)

Review of Quantum Hall Effect and TKNN formula

© Okinawa Institute of Science and Technology

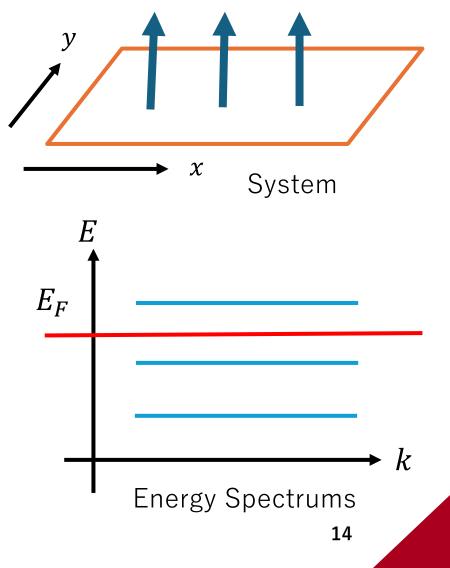
Graduate University 2023

2D system with vertical magnetic field.

Energy eigenvalues are quantized (Landau Level)

Hall conductivity are quantized by integer C (Chern Number)

$$\sigma_{xy} = \frac{e^2}{h}C \quad (j_x = \sigma_{xy}E_y)$$



В

Review of Quantum Hall Effect and TKNN formula

$$C = \sum_{n=1}^{\text{occ}} C_n = \sum_{n=1}^{\text{occ}} \frac{1}{2\pi} \int_{\text{BZ}} d^2 k \ \mathcal{B}_n(\mathbf{k}) \quad \text{Chern Number}$$
$$\mathcal{B}_n(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathcal{A}_n(\mathbf{k}) \qquad \text{Berry Curvature}$$
$$[\mathcal{A}_n(\mathbf{k})]_i = i \langle u_{n,\mathbf{k}} | \frac{\partial}{\partial k_i} | u_{n,\mathbf{k}} \rangle \qquad \text{Berry Connection}$$

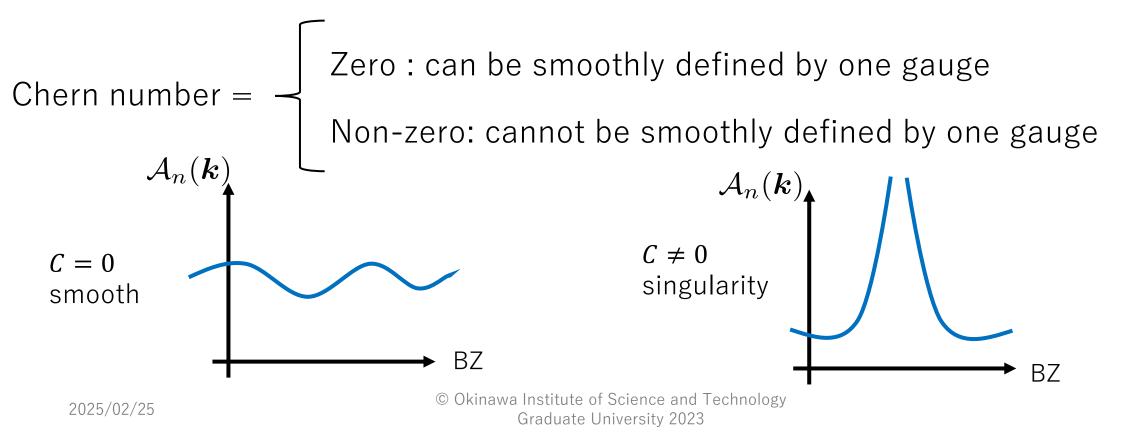
The Chern number is Topological number. This mathematical formula is called **TKNN formula**



Review of Quantum Hall Effect and TKNN formula

Why Chern Number is topological number? (Physical meaning)

Chern Number corresponds to whether Berry Connection $\mathcal{A}_n(\mathbf{k})$ can be smoothly defined or not by one gauge in the BZ.



16



What is Topological Pump (or Thouless Pump)?

✓We consider 1+1D system and the potential is periodic both in time and space.

✓The charge pumped by the time dependent potential is a topological quantum number.

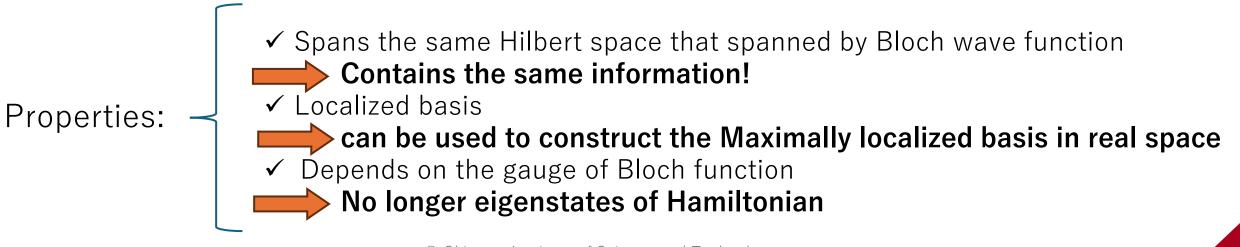
✓This topological quantum number is Chern number

MMMMMMMt = T/2 i+1 i+2 i+3 i+4*i*-5 *i*-4 *i*-3 *i*-2 *i*-1 Position [d]

Nakajima et al., Nature Phys 12, 296–300 (2016)

We define the Wannier function by Fourier transformation of Bloch wave function.

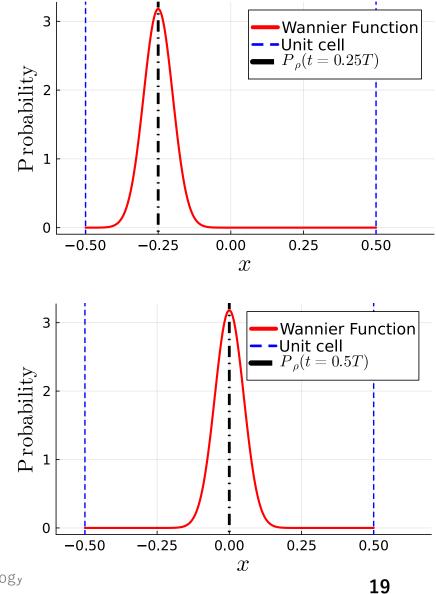
$$|\mathbf{R}n\rangle = \frac{V}{(2\pi)^3} \int_{\mathrm{BZ}} d^3k \ e^{-i\mathbf{k}\cdot\mathbf{R}} |\psi_{n,\mathbf{k}}\rangle$$
$$|\psi_{n,\mathbf{k}}\rangle \text{ is the Bloch wave function}$$



$$P_{\rho}(t) = \frac{1}{L} \sum_{n=1}^{\text{occ}} \langle R = 0n, t | \hat{x} | R = 0n, t \rangle$$

 $|Rn,t\rangle$ is Wannier Functions at unit cell R

$$P_{\rho}(T) - P_{\rho}(0) = \frac{1}{2\pi} \sum_{n}^{\text{occ}} \int_{0}^{T} dt \int_{-\pi}^{\pi} dk \ \mathcal{B}_{n}(k,t)$$
$$\mathcal{B}_{n}(k,t) = \frac{\partial}{\partial k} [\mathcal{A}_{n}(k,t)]_{t} - \frac{\partial}{\partial t} [\mathcal{A}_{n}(k,t)]_{k}$$
$$[\mathcal{A}_{n}(k,t)]_{k} = i \langle u_{n,k}(t) | \frac{\partial}{\partial k} | u_{n,k}(t) \rangle$$
$$[\mathcal{A}_{n}(k,t)]_{t} = i \langle u_{n,k}(t) | \frac{\partial}{\partial t} | u_{n,k}(t) \rangle$$

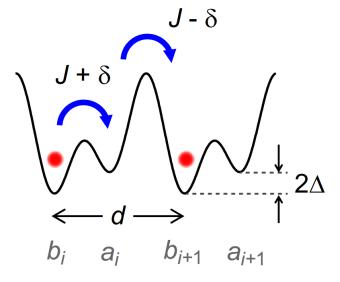


Example : Rice-Mele Model

$$\hat{H}_{\rm RM} = \sum_{i} \left(-(J+\delta)\hat{a}_i^{\dagger}\hat{b}_i - (J-\delta)\hat{a}_i^{\dagger}\hat{b}_{i+1} + \text{h.c.} + \Delta(\hat{a}_i^{\dagger}\hat{a}_i - \hat{b}_i^{\dagger}\hat{b}_i) \right)$$

After Fourier transformation, the Hamiltonian is

$$\hat{H}_{\rm RM} = \sum_{k} \begin{pmatrix} a_k^{\dagger} & b_k^{\dagger} \end{pmatrix} \mathcal{H}(k, t) \begin{pmatrix} a_k \\ b_k \end{pmatrix}$$
$$\mathcal{H}(k, t) = \mathbf{R}(k, t) \cdot \boldsymbol{\sigma}, \ \boldsymbol{\sigma} \text{ is Pauli matrices}$$
$$\mathbf{R}(k, t) = \begin{pmatrix} -2J \cos \frac{kd}{2} \\ 2\delta(t) \sin \frac{kd}{2} \\ \Delta(t) \end{pmatrix}$$

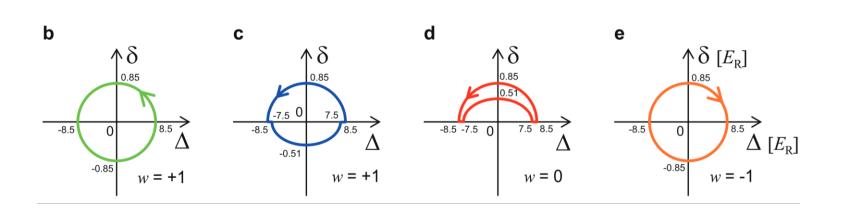


Nakajima et al., Nature Phys 12, 296–300 (2016)

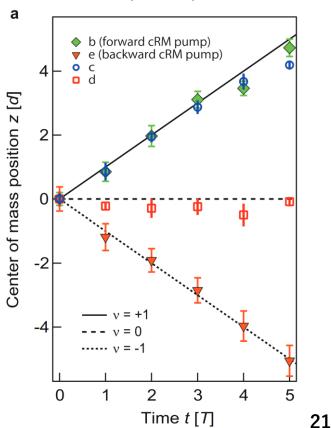
Example : Rice-Mele Model

After some calculation,

the total charge pumping = the winding number of (Δ, δ) space



Experimentally observed in *Nakajima et al., Nature Phys* **12**, 296–300 (2016)



2025/02/25



3. Main part : My Research Topic

3.1. Problem and Motivation

3.2. Local Chern Marker

3.3. Model

3.4. Results 3.4.1. $\beta = \frac{3}{5}$: Periodic Case 3.4.2. $\beta = \frac{2}{\sqrt{5}+1}$: Non-periodic case 3.4.3. $\beta = \frac{1}{3}, \frac{2}{3}$: Domain Wall Case

2025/02/25



Problem and Motivation

- Chern number can only be defined in the quasi-momentum space.
- Chern number assumes that the periodicity of crystal.
- We want to calculate the Chern number without periodicity like quasi-crystal.

Local Chern Marker

We define the Local Chern marker.

$$\mathcal{M}_{1}(x,t) = \frac{1}{L} \operatorname{Tr}_{x} [\hat{P}(0)\hat{U}^{\dagger}(t)\hat{x}\hat{U}(t)\hat{P}(0)]$$

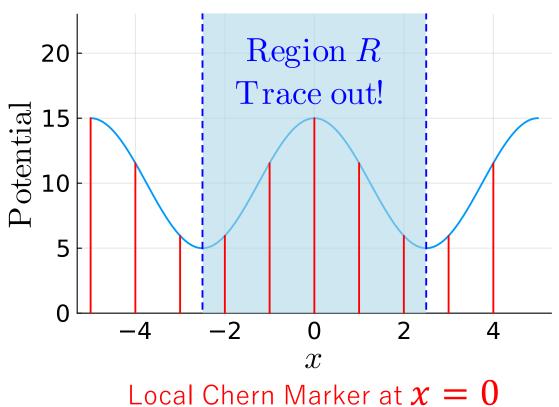
$$L \text{ is the length of the system}$$

$$\operatorname{Tr}_{x}[\hat{\mathcal{O}}] = \sum_{\alpha} [\langle x| \otimes \langle \alpha|] \hat{\mathcal{O}} [|x\rangle \otimes |\alpha\rangle]$$

$$\alpha \text{ is the internal degrees of freedom}$$

$$\hat{P}(t) \text{ is the projection operaotr}$$

$$\hat{U}(t) \text{ is the adiabatice time evolution operator}$$



The region *R* is 5 Positions within *R* become internal degrees of freedom

Local Chern Marker

If **the system has translational invariant** (= **periodically**), the difference in one period of the local Chern marker corresponds to the difference in one period of the charge pumping.

$$P_{\rho}(T) - P_{\rho}(0) = \mathcal{M}_{1}(x, T) - \mathcal{M}_{1}(x, 0)$$

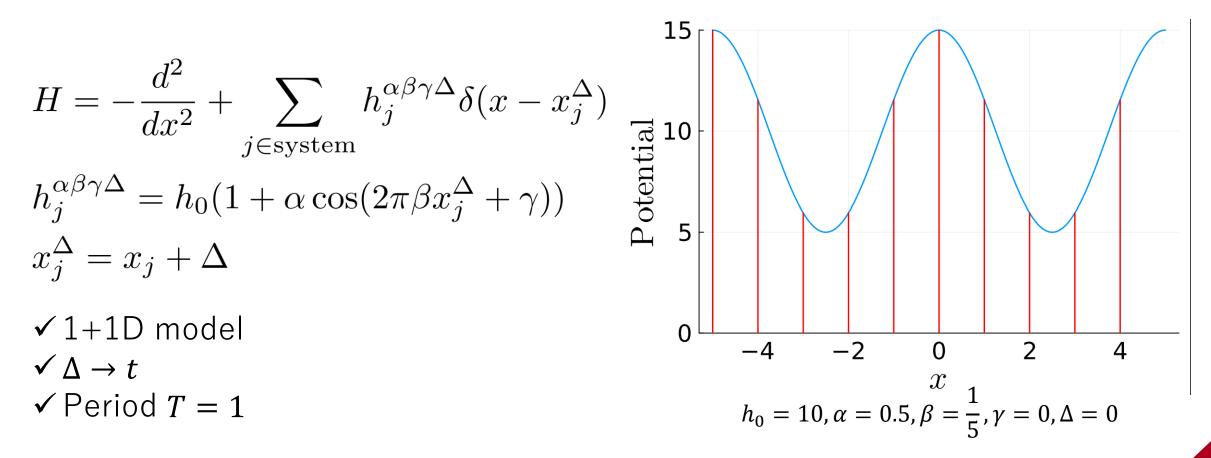
If the system has translational invariant

Local Chern Maker is the generalization of Chern number in 1+1D!!!

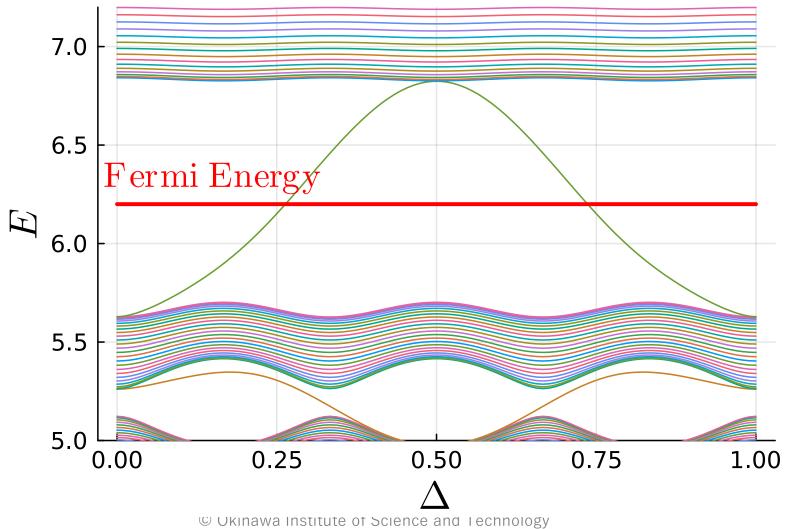


Model

Consider Modulated Kronig-Penney Model with Open Boundary Condition.

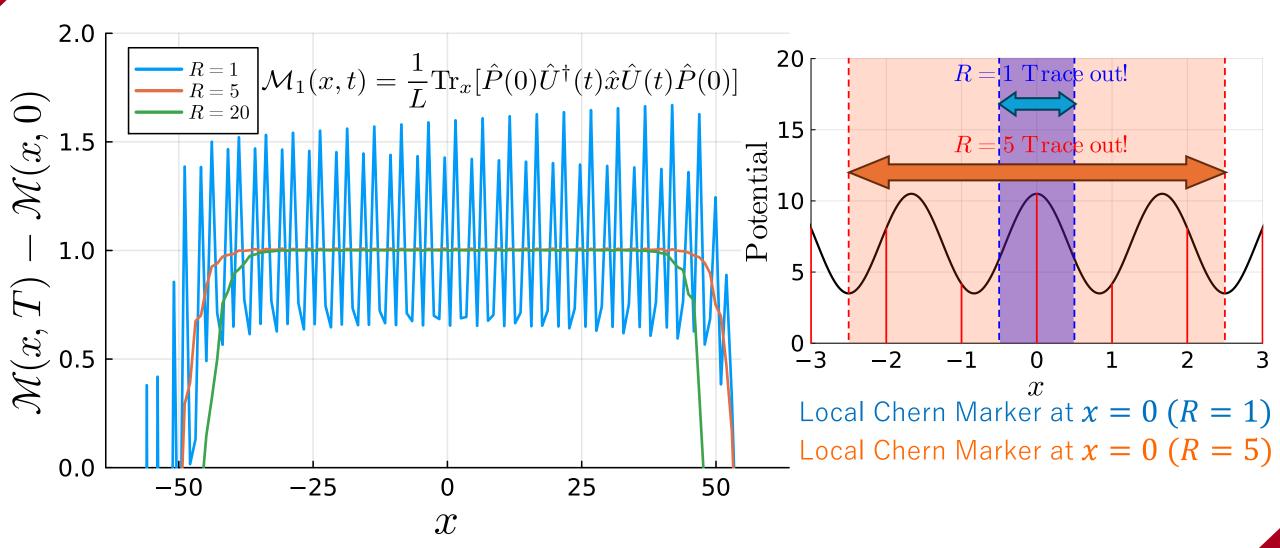


Results of $\beta = \frac{3}{5}$: Periodic Case

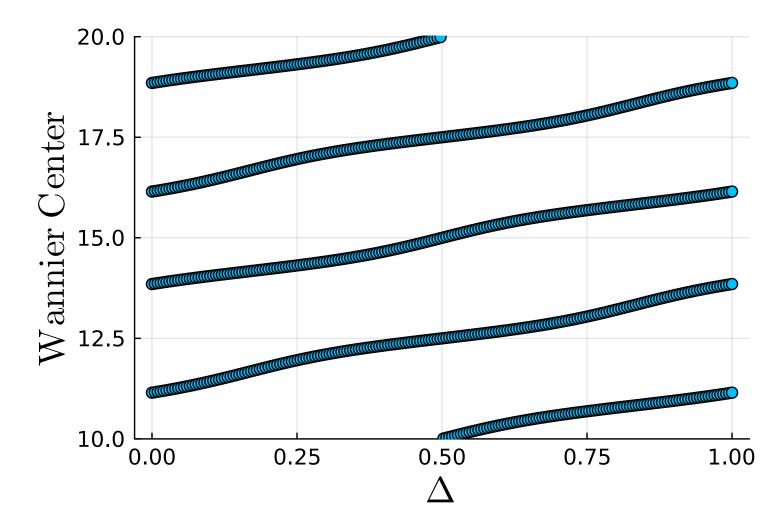


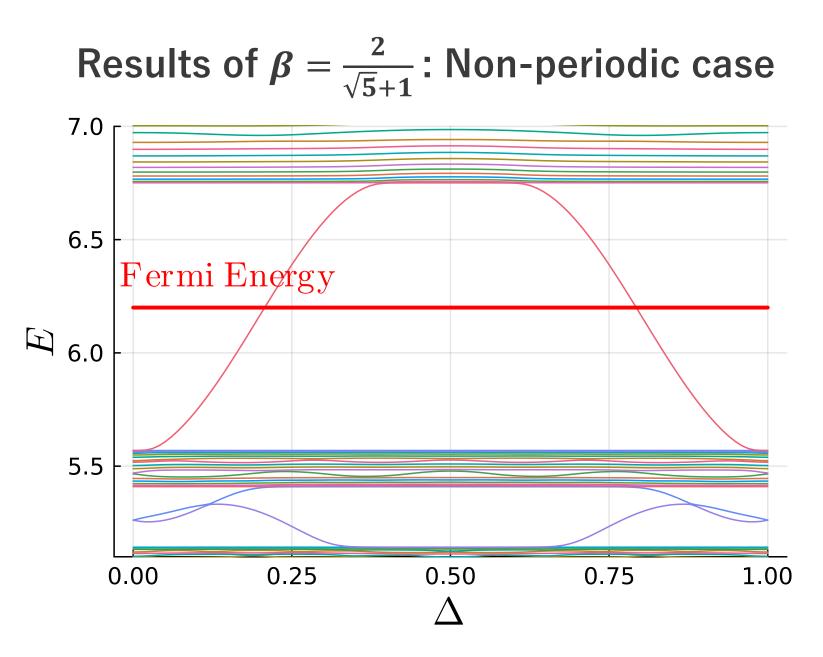
Graduate University 2023

Results of $\beta = \frac{3}{5}$: Periodic Case

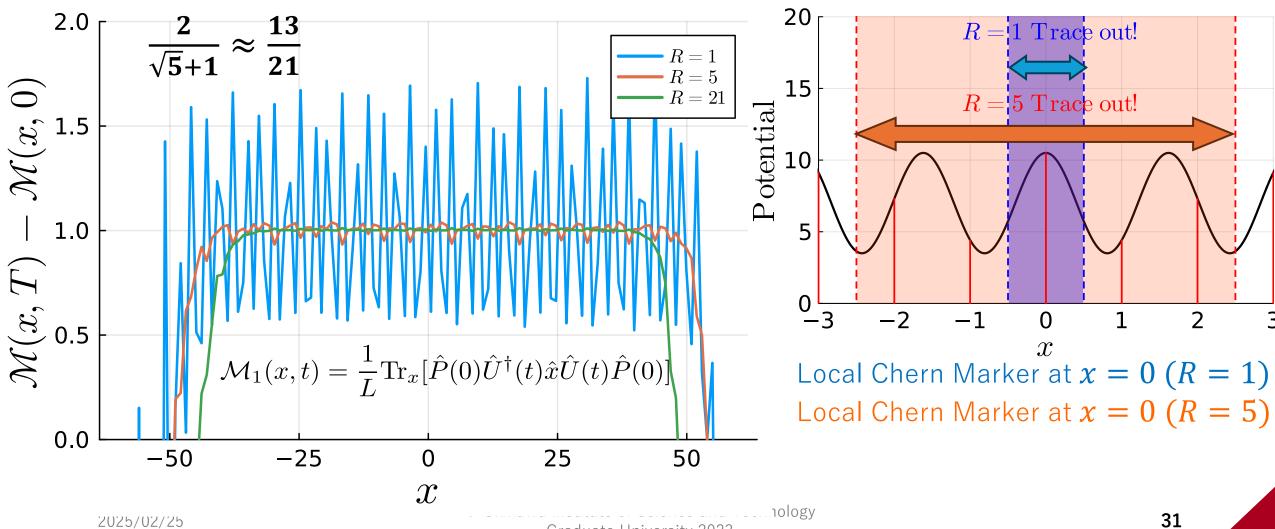


Results of $\beta = \frac{3}{5}$: Periodic Case



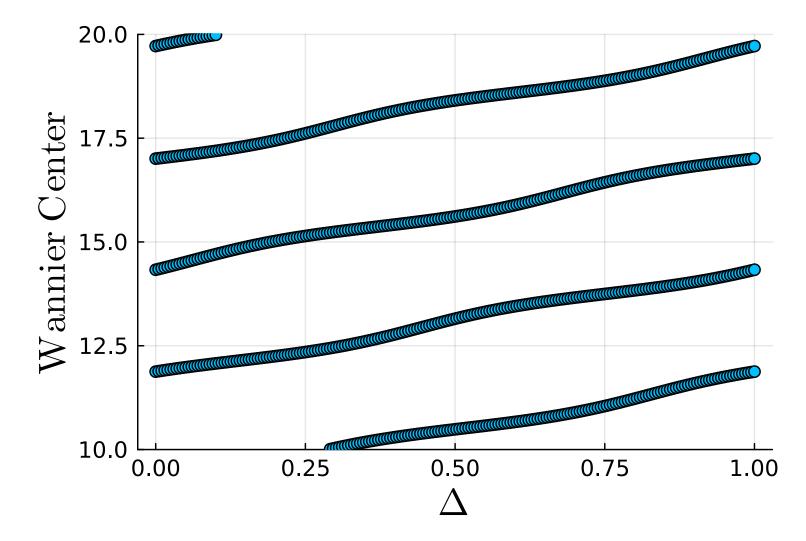


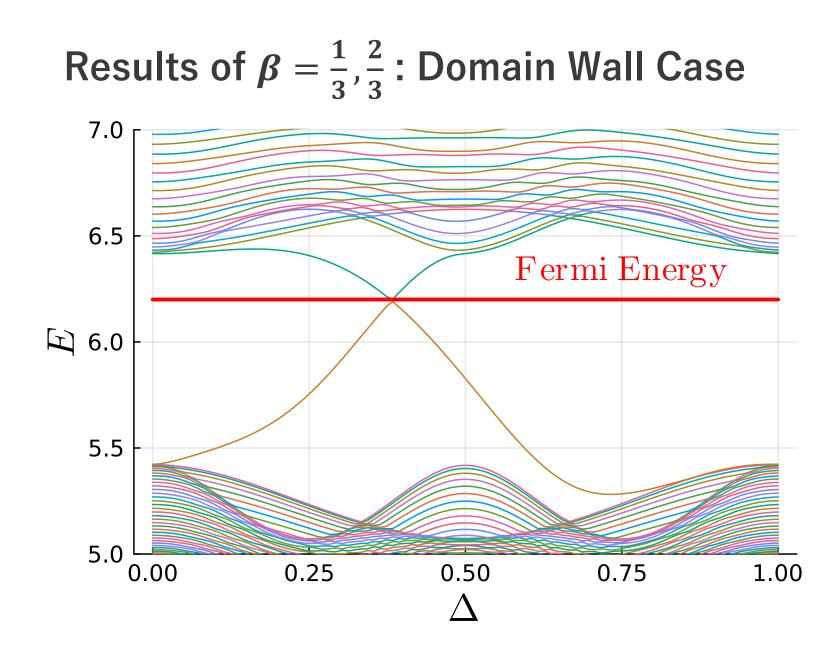
Results of $\beta = \frac{2}{\sqrt{5}+1}$: Non-periodic case



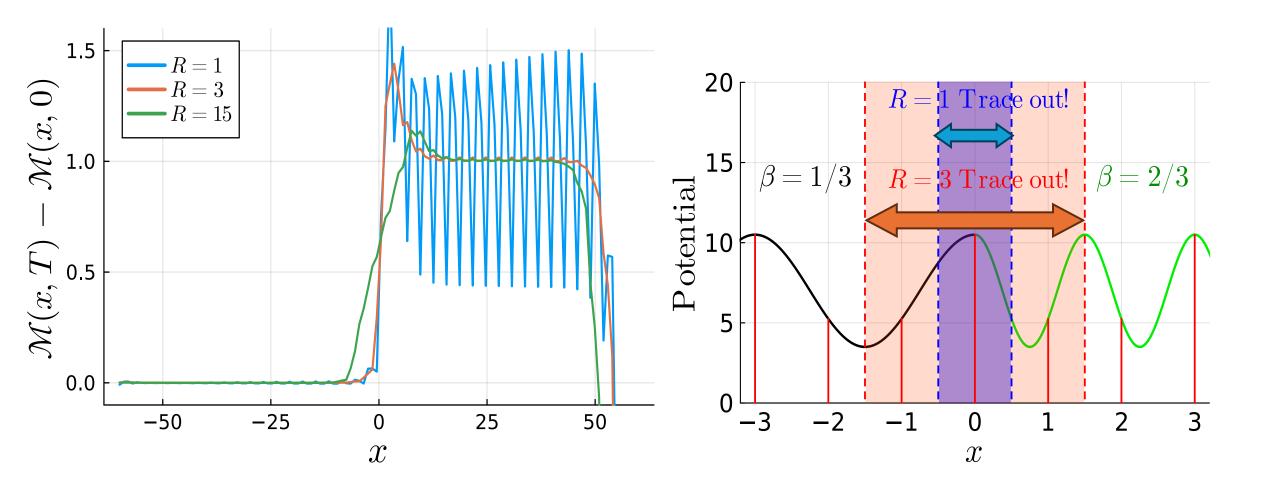
Graduate University 2023

Results of $\beta = \frac{2}{\sqrt{5}+1}$: Non-periodic case

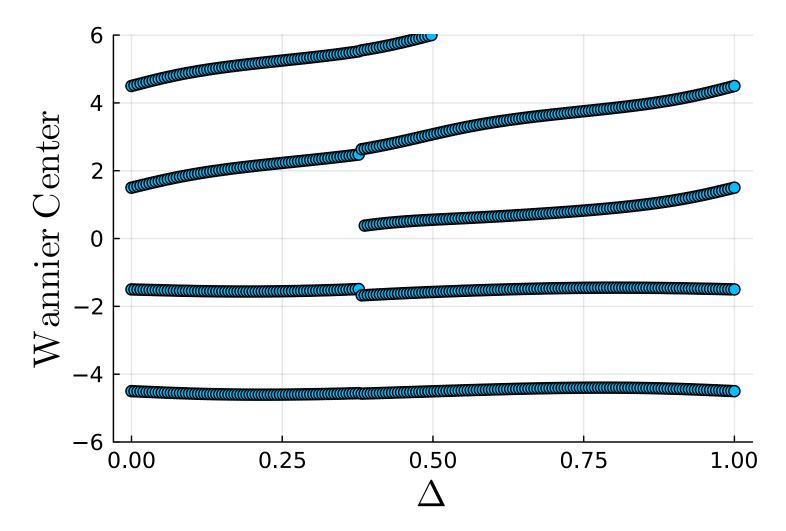




Results of $\beta = \frac{1}{3}, \frac{2}{3}$: Domain Wall Case



Results of $\beta = \frac{1}{3}, \frac{2}{3}$: Domain Wall Case





Acknowledgment

